

## At a Glance

GlobeOp (LSE:GO.) is a leading independent provider of business process outsourcing, financial technology services and analytics to the hedge fund industry and other sectors of the financial industry.

We offer a wide spectrum of specialized, integrated web-based financial products supporting middle- and back-office trade processing, fund administration, complex derivatives and risk reporting. Our responsive, innovative services enable clients to manage their funds efficiently and reliably. Our scalability facilitates marketing new funds or trading strategies faster.

### Services and strengths

#### Our service network

Our global network optimizes time zones so clients receive P&L and net asset value (NAV) reports prior to the market opening each business day. Extensive India operations – approximately 1,050 employees in 3 offices – bring technology and human resource scale to the high quality, reliable, cost-effective services offered through 10 offices on 3 continents.

#### Asia

- Mumbai

#### Europe

- Dublin
- London\*

#### The Americas

- George Town, Cayman Islands
- Harrison
- Hartford
- New York City\*
- Yorktown Heights

\*Global headquarters



**Risk Services, Transaction Solutions and Managed Services** are offered on a standalone basis and can either be integrated with our traditional services or provided as unbundled solutions. The combined GoOTC™ and GoRisk™ reporting package is particularly compelling to mutual funds and custodians, as it supports compliance with regulatory requirements for transparency, independence, and operational risk management linked to the European UCITS III directive.

#### Deep, discerning knowledge of the hedge fund industry

GlobeOp senior management are industry veterans. Their knowledge and insight underpins our ability to identify new industry dynamics and respond with advanced products and services. In 2009, GlobeOp addressed an increased demand by funds and investors for independent valuation, managed accounts, managed services, and risk reporting.

#### A highly scalable, flexible platform

We standardize our processes around best practices, and organize them into task modules reflecting specific service sets and investment types. By aligning these with each client's specific requirements, we deliver customized, cost-effective services and process transparency.

#### Innovative and adaptive use of technology

Many of our clients cite system innovation, robustness and integration as key factors in selecting GlobeOp. Through disciplined, regular investment in hardware and software systems, we develop technology to offer clients more efficient data and report processing, and faster delivery times. Our highly automated technology platform represents over 1,000 collective man-years of development.

## Services and products

### Middle- and Back-Office, Fund Administration (MBA)

**MBA services interact with those primarily provided by prime brokers to facilitate trade processing and related trade data acquisition and processing for clients. We support many post-trade activities, from trade entry through accounting, on a highly automated basis. Due to our scale, technology and expertise, GlobeOp is able to provide faster, more reliable, and less expensive MBA services than hedge fund managers achieve on their own. In 2009, 93% of GlobeOp revenues were generated from MBA services.**

#### Fund Administration

- Audit support
- Fund valuation
- Investor communications, fund performance reporting
- Pricing & net asset value (NAV) calculation
- Share registry & transfer services

#### Middle- and Back-Office Services

- Daily reconciliation
- Daily portfolio P&L statements
- Data aggregation & pricing
- Exposure calculations & reports
- OTC processing
- Real-time analytics
- Security master processing – monitoring & maintenance
- Trade capture

#### Managed Services

Our Yorktown Heights, NY production data center is specifically designed to meet real-time, operational, network, scale and resiliency needs of technology- and data-intensive organisations.

- Data center and hosted services
- Disaster recovery and business continuity facilities

### Risk Analysis and Reporting

**Risk analysis and reporting services, designed for both fund managers and investors, are based on leading-edge analytics and delivered via full ASP. For MBA clients, the service is fully integrated with the GlobeOp platform to support position data integrity. Services are also available on a standalone basis. Extensive metrics, analytics and report customization are possible.**

#### GoRisk Reports

Risk reporting is comprehensive, flexible, independent, transparent and robust. Web-based reporting services include position and exposure reporting, limit monitoring reports, customizable stress tests and a full suite of Value-at-Risk (VaR) calculations with flexible parameters.

### Transaction Solutions

**Transaction Solutions, such as GoOTC, GoMarkets™ and Independent Valuation Services, are driving new business opportunities that target an emerging client base of traditional asset managers, long/short equity funds, mutual funds, regional and custodial banking institutions, pension funds, endowments and insurance companies. Increasingly we charge clients for some of these services on a per-trade basis or other fee arrangement.**

#### Independent Valuation Services

Independent asset valuation and transparency into the price determination process for buy-side stakeholders. Supports fair market valuation standards.

- Independent verification of asset values
- Best practice valuation methodologies
  - Independently sourced rates and prices
  - Multiple data and pricing vendors
  - Analysis of valuation sources and methods
  - Substantiation of third-party valuations
  - Consistently applied valuation processes
- FAS 157 and customized management reporting

#### GoMarkets

- Electronic access and straight-through processing (STP) with third-party trading and affirmation vendors
- GoMoney™ – electronic cash investment solution
- GoQuote™ – front-office tool; filters and aggregates daily price feeds

#### GoOTC

Standalone outsource package for over-the-counter (OTC) derivative trade processing.

- Trade capture
- Reconciliation
- Independent valuation
- Confirmations
- Collateral management
- Payments processing
- P&L reporting and risk data
- GlobeOp processes more than 60 distinct derivative instruments