## **Our Vision**

To become the recognized leader in business process outsourcing, financial technology services and analytics to hedge funds and other targeted sectors of the financial services industry.

GlobeOp's seven principles are the foundation of our organization. More than just words, these principles express the company we want GlobeOp to be – from the people we hire, to the way we design our products and interact with customers and each other. They articulate a set of behaviors that guide us in the decisions we make every day.

## **GlobeOp Principles**

#### **Clients' Interest**

Professionalism

Innovation

Technology

polite greeting.

The interests of our clients and their investors always come first. Dependability, timeliness, accuracy, confidentiality and responsiveness are the foundation of our success.

## Teamwork

We take pride in our services. We offer professionalism and superior knowledge. We are willing to go the extra mile and we live by our work ethic. We encourage and applaud teamwork as it creates a value far exceeding that of going it alone.

Technology is at the core of our business. We excel

at integration and at leveraging technology to meet

client needs. However, we also know that bits and

bytes cannot replace a friendly handshake or a

Creativity and imagination add value to our clients

and will continue to define us as leaders in our field.

While GlobeOp is grounded in fundamental disciplines,

we continue to be open and flexible when new

opportunities are offered by changing client needs.

#### Integrity

Honesty, trustworthiness and integrity are the common features in all of our work. Our reputation is paramount to us and to our clients. We will always comply with both the letter and spirit of the law, and we will always adhere to clear ethical standards.

#### **Career progression**

We encourage our staff to seek education and progression in their careers, and we aspire to offer them new opportunities. In a growing organization, there are many occasions for expanding individual careers. We encourage ourselves and our staff to grasp new challenges as we prepare for the future through training, experience and continued positive performance.

## **Our Market Environment**

While the full impact of market uncertainty on the financial sector is still being determined, we have identified several new market fundamentals that we believe will yield mid- and long-term opportunities for top-tier hedge funds and administrators alike. Investors and fund managers will place a sharper focus on risk and collateral management, as well as operational cost controls. Asset managers and their investors will require independent hedge fund administrators to process and independently verify fund positions, pricing and cash balances quickly, accurately and transparently. GlobeOp's strengths are uniquely well-placed to meet these requirements and opportunities.

A career at GlobeOp builds knowledge that encourages personal growth and achievement.

www.globeop.com www.globeop.com/globeop/ca/workingat



# A Career with GlobeOp Financial Services

Whether you are an experienced professional or at the beginning of your career, consider a career with GlobeOp Financial Services. We offer challenge, growth, training and advancement in an exciting, expanding industry. With offices in the United States, London, Mumbai, Cayman Islands and Dublin, you'll find many opportunities to build your career with us.

GlobeOp is a leading independent financial technology specialist that provides services to hedge funds and asset management firms including banks, insurance companies, pension funds, proprietary traders and their investors. Clients trading a wide range of asset classes and derivatives outsource to GlobeOp for access to seamless, reliable web-based fund accounting and valuation, processing and operational services, risk analytics, share registry and investor services. Partnering with GlobeOp can reduce clients' technology investments and operational risk, and focuses client resources on raising capital and investment management.

Established in 2000, GlobeOp has grown to become the largest publicly-listed hedge fund administrator (LSE:GO). We serve our international client base from New York and London headquarters and a global network of seven other offices on three continents.

Our vision is to be the recognized leader in technology-based business process outsourcing and analytics. We anticipate and respond to client needs and provide innovative, quality services and cost-effective access to high-capacity information technology systems that most clients would not otherwise have access to internally.

## **Exposure: Finance, Technology, Diversity**

A career at GlobeOp offers exposure to the alternative investment industry. As a business with a high client service focus, we recognize that our central assets are our people. We take pride in their talent and commitment and recognize that our growth and success is due to their intense dedication and effort.

As the industry grows and changes, GlobeOp anticipates, responds and changes with it, staying at the leading edge of products, services and technology. Our employees become familiar with many different types of financial products including bank debt, commodities, equities, fixed income, foreign exchange, mortgages, and exchange traded derivatives.







London, England

Mumbai, India

GlobeOp's technology is the core of our business and our competitive edge as a leading hedge fund administrator. Because we work with leading edge financial products, our processes and systems offer employees the opportunity to learn and work with the most recent technology. Our systems combine proprietary software and advanced systems from leading global commercial vendors. Our technology platform, established in 2000, represents more than 750 man-years of development. Our technology team consists of more than 200 individuals, and over half are developers. GlobeOp is listed on both the RiskTech 100 and FinTech 100 rankings of global risk and financial technology specialists.



**6** Technology has always been and continues to be GlobeOp's largest area of investment after people. We continually add functionality to the applications that our clients and employees use to provide our services. We always look to improve our technology to provide better customer service today as well as to anticipate industry trends. The world of financial services continues to evolve and our clients expect our technology capabilities will evolve as well.

Bob Schwartz, Chief Technology Officer, New York City

We are a global company working as an integrated team. Our diverse group of employees from around the world brings different skills, knowledge, experience and culture to our workplace. We interact daily with local teams and overseas colleagues. Our team-based environment also creates significant interaction with senior management, leading to well-rounded experience and career development.

# Career Development: Train, Learn, Achieve and Grow

At GlobeOp we are committed to helping our people achieve their full potential when it comes to career development. We encourage employees to achieve their career aspirations and we look for opportunities that will recognize their contributions and help them progress in their career objectives.

GlobeOp is very committed to training at all levels, for both new and established employees. Our varied training program is one way we develop employee potential and support career growth. Our training enhances personal understanding of the industry and provides the specific knowledge and skills required for job responsibilities.

GlobeOp training programs include a blended learning approach of on-site classes, web-based courses and on-the-job training. New employees participate in a thorough orientation program that introduces GlobeOp's services, departments, systems and applications. On-site classes taught by our internal experts, and more than 70 online modules, enable employees to broaden their knowledge of GlobeOp, financial products and the industry overall. On- the-job training includes "shadowing" colleagues to learn day-to-day roles and responsibilities firsthand.







Grand Cayman, Cayman Islands

Harrison, NY, USA

We encourage a "promote from within" philosophy to help maximize career growth and potential. Growing within your department is one example.



6 I started with GlobeOp right after college graduation and I wasn't sure exactly what career direction I wanted to take. By working hands-on in the share registry group of Investor Services, I was able to develop my knowledge base and take on an increasing amount of responsibility in the group over time. Due to GlobeOp's business growth, commitment to me and a lot of hard work, I had the opportunity to develop my skills and expertise, and am now running the team that I joined as an entry-level employee.

Eric Bochner, Manager, New York City

GlobeOp's steady growth and continuous innovation encourages people who want to expand their area of expertise to move across departments. New departments established in response to client needs or market opportunities provide even more opportunities.



6 After two years in fund accounting at GlobeOp, I opted for a transfer to the over-the-counter (OTC) derivatives valuations group. It was a great challenge which has really broadened my understanding of the hedge fund industry. In two years I was also promoted to manager. I recommend GlobeOp as a great place to make a career in the hedge fund sector.

Ranjit Enghakat, Manager, Mumbai

Many of our employees have traveled to different GlobeOp offices around the world to work with or train colleagues.



66 I've traveled to the London and the India offices several times to train people and to streamline various processes across regions. Traveling has helped me to become a more effective communicator and has broadened my horizons to a more global view. I've gained a greater understanding of differences in cultures, making it easier to work together as a unified team.

April Cheng, Director, New York City



6 Working at GlobeOp has given me a much broader understanding of the finance markets yet not be pigeon-holed into one particular product area. With GlobeOp's ongoing guidance and support I've been able to accelerate my career growth, progressing from operations assistant to operations director. After spending six months in Mumbai managing the operations & open trade equity teams, I returned to London to lead OTC trade support and OTC valuations. I now manage large teams in both London and Mumbai.

Kevin Tynan, Director, London

Throughout your career at GlobeOp, you will gain a good understanding of different departments throughout the business. For example, by working as a fund accountant you will also learn about middle- and back-office operations, client relations, OTC derivatives and investor services. Working with all levels of employees also encourages career mobility and progression.

GlobeOp offers competitive benefits packages, including comprehensive health insurance, retirement plans, life insurance and paid vacation.







Yorktown Heights, NY, USA